Association of vitamin D deficiency, secondary hyperparathyroidism, and heterotopic ossification in spinal cord injury

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Abstract—Our objective was to explore the relationship between low vitamin D, secondary hyperparathyroidism, and heterotopic ossification (HO) in patients with spinal cord injury (SCI). Ninety-six subjects with acute or chronic motor complete SCI participated. Levels of serum vitamin D25(OH), calcium, and intact parathyroid hormone (PTH) were collected, and information regarding nutritional patterns and fracture history was obtained from subjects. Evidence of current or previous HO was ascertained through chart review. Of the 96 subjects, 12 were found to have developed HO, 11 with serum vitamin D25(OH) between 5 and 17 ng/mL. Nine subjects exhibited secondary hyperparathyroidism in the range of 72 to 169 pg/mL. Only one subject demonstrated HO in the absence of low vitamin D. However, many subjects with low vitamin D (5–31 ng/mL) did not have hyperparathyroidism or HO. Statistical testing demonstrated a correlation between hyperparathyroidism and HO (p < 0.001) as well as hyperparathyroidism and vitamin D deficiency (<20 ng/mL). Direct correlation between HO and low vitamin D was not observed, but hyperparathyroidism may increase this risk. We believe that those patients who demonstrate low vitamin D and elevated PTH should be screened for HO in addition to beginning vitamin supplementation. Initiating early treatment of low vitamin D to restore therapeutic levels may prevent development of HO.

Key words: bone, bone morphogenic protein, bone progenitor cells, deficiency, heterotopic ossification, hyperparathyroidism, parathyroid hormone, prevention, spinal cord injury, vitamin D.

INTRODUCTION

The classical definition of heterotopic ossification (HO), set forth by Hsu and Keenan [1], focuses on the formation of lamellar bone in periarticular, nonossified soft tissues [1–2]. However, HO in its mature form reveals not only the presence of mature lamellar bone but also cancellous bone, vessels, and bone marrow with evidence of hematopoiesis [3–4]. New bone forms within the muscle planes, rather than within the muscle itself, and exists adjacent to the skeleton but exclusive of the periosteum [2].

HO is characterized by muscle pain, decreased range of motion (ROM), and inflammation in the affected

Abbreviations: ALK-P = alkaline phosphatase, BMD = bone mineral density, BMP = bone morphogenetic protein, CI = confidence interval, EndMT = endothelial-mesenchymal transition, FOP = fibrodysplasia ossificans progressive, HO = heterotopic ossification, PTH = parathyroid hormone, ROM = range of motion, SCI = spinal cord injury, T = thoracic.

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http://dx.doi.org/10.1682/JRRD.2012.11.0206
region and is associated with recent trauma and soft tissue injury [1]. The reported incidence ranges from 10 to 53 percent depending on the study [5–6]. Some experts have found that, in terms of patients with traumatic spinal cord injury (SCI), HO is seen more often among those with prolonged immobility, spasticity, deep vein thromboses, or pressure ulcers [7]. Because immobility from prolonged hospitalization in an intensive care unit, ventilator dependence, and critical illness can all place a patient at risk for any of these conditions, a direct influence of pressure ulcers, spasticity, or venous thromboembolism on development of HO has been challenged [8]. Subbarao and Garrison noted that pressure ulcers and increased spasticity may be associated with HO yet are not considered to be causative factors [9]. However, completeness of SCI, the traumatic nature of neurological injury, and direct muscle trauma do appear to be stronger influencing factors in HO development [1,7].

Although inflammation, completeness of injury, and immobility following injury may predispose the patient to heterotopic bone formation, the full scope of the condition is not observed until 1 to 4 mo after initial injury [3,8]. The onset of posttraumatic HO in the setting of acute SCI is one of several adverse sequelae seen in the subsequent months following the initial injury. Unlike other secondary adverse complications of traumatic SCI, such as pressure ulcers, venous thromboembolism, spasticity, and autonomic dysreflexia, HO has few measures of effective intervention. Treatment rarely results in complete resolution of the disorder.

In part, the challenge of prevention is related to the imprecise etiology of the condition and the various types of heterotopic bone. Subbarao and Garrison have proposed four different types of soft tissue ossification: (1) ossification due to neurologic disorders such as SCI and brain injury, (2) traumatic myositis ossificans due to blunt injury or direct contusion to the area, (3) idiopathic HO due to burns or other medical causes, and (4) congenital fibrodysplasia ossificans progressive (FOP) [9]. Whether the same or different mechanisms of formation exist among the different forms of HO is unknown.

Our group has recently completed an observational study of vitamin D levels in 42 subjects with acute SCI, defined as 2 to 6 mo postinjury, and 54 subjects with chronic SCI, defined as >1 yr postinjury [10]. A high percentage of subjects with deficient levels of vitamin D exhibited HO in bones below the neurological level of injury. Some had severe deficiency (<13 ng/mL) of vitamin D with the presence of secondary hyperparathyroidism. This group of subjects serves as the sample of study in our report. A number of our subjects with chronic SCI reported having a large bone growth on their hip or knee several months after SCI but never sought evaluation, attributing it to inactivity or discontinuing their therapy. Many did not learn its diagnosis by the name of HO until their annual SCI visit.

The purpose of our investigation was to examine any possible relationship between vitamin D deficiency, secondary hyperparathyroidism, and HO formation. Our specific aims were—

1. To determine whether HO is more common in persons with hyperparathyroidism and vitamin D deficiency.
2. To determine what minimum serum value of vitamin D is necessary to reduce risk of HO.

**METHODS**

Our study was a subproject of a case series examining subjects with acute (2–6 mo postinjury) and chronic (>1 yr postinjury) SCI for possible vitamin D deficiency. Table 1 shows inclusion and exclusion criteria [11]. All subjects were cervical level 3 to thoracic (T) level 10, American Spinal Injury Association Impairment Scale grades A or B, and between ages 19 and 55. The age range was derived from another study examining vitamin D levels with focus on the young and middle-aged population. Injuries caudal to T10 were eliminated to prevent possible inclusion of subjects with conus medullaris or cauda equina injuries. Both sexes and all racial groups were invited to participate.

Each subject completed a questionnaire that requested information about the following: any premorbid diagnosis of osteoporosis; history of other fractures unrelated to the original trauma; history of renal or liver failure or other liver disease (cirrhosis, hepatitis); medication use, including any seizure medications known to cause osteoporosis or to impair vitamin D absorption; family history of osteoporosis; and family or personal history of lactose intolerance. Charts were also screened for documentation of these conditions. Subjects who consumed more than 400 IU/d of vitamin D as well as those who were prescribed bisphosphonates were not eligible. These criteria were applied to both subjects with acute and chronic SCI.

Values of serum vitamin D25(OH) and intact parathyroid hormone (PTH) were collected in each subject.
The form of vitamin D chosen for study was serum D25(OH) since it is the major circulating vitamin D metabolite and best reflects the overall nutritional status of the subject, determined by solar and dietary sources [12]. As part of standard of care, subjects with low vitamin D levels, regardless of status of PTH values, were recommended for treatment. Some chose to pursue treatment at our clinic, while others chose to follow up with their primary care physician. In cases with severe hyperparathyroidism, subjects were referred to an endocrinologist for more intense treatment than could be provided at our center. For subjects with data available, results for follow-up are given.

Inpatient and/or outpatient charts of each subject were reviewed for reports of any diagnostic studies revealing the presence of HO or documentation of its presence in clinic notes. No additional images to screen for HO were performed for the purposes of this study. Subjects were also interviewed when data obtained from chart review were unclear. If during the interview a subject reported decreased ROM in a unilateral hip or knee, additional images may have been obtained as part of standard of care. Subjects were asked about known presence of heterotopic bone and whether they had been seen for this condition in other hospitals or clinics. No screening for HO was performed in the absence of notable clinical examination findings or apart from a subject’s self-reported joint pain, stiffness, and decreased ROM.

RESULTS

Of the 96 subjects who participated in our previous study [10] on the presence of vitamin D deficiency, 12 reported HO as verified by review of the medical record, including imaging studies either at the institution of care or at an outside hospital. Of the 78 subjects with low vitamin D (<32 ng/mL), 11 demonstrated HO formation. One patient with a normal vitamin D value of 52 ng/mL also had HO. Of the 12 subjects with HO, 9 exhibited marked hyperparathyroidism (PTH range: 71–169 pg/mL). The nine subjects with elevated PTH all had serum vitamin D levels that were deficient (<20 ng/mL). Among the subjects with low vitamin D, those with HO had serum vitamin D25(OH) levels of 5 to 17 ng/mL, while those without HO ranged from 5 to 31 ng/mL. There were 27 subjects with low serum D25(OH) levels between 20 and 31 ng/mL, but no subjects in this range had HO.

In all subjects with HO, the location of bone formation was below the neurological level of injury. The most common location was the hip, followed by the knee. In two subjects, multiple joints were affected. Each subject with HO indicated that onset of clinical symptoms occurred after being discharged from acute inpatient rehabilitation, a duration that ranged from 30 to 65 d. Chart review indicated that the onset of HO occurred between 6 and 12 mo postinjury for five patients and over the first 3 yr for the others, with the exact date of onset unknown. The twelfth patient, a 48 yr old male with hip
HO, had normal levels of vitamin D at postinjury years 1 and 2 (46 and 52 ng/mL, respectively), with normal PTH and calcium levels at the time of interview. His onset appeared 2 yr postinjury. Two months after HO was found, the subject experienced a series of hospitalizations for weakness and fever and was diagnosed with a paraneoplastic syndrome. This was the only subject of the 96 in the study who demonstrated HO in the absence of low vitamin D (<32 ng/mL).

Two subjects among the 78 with low vitamin D (5 and 10 ng/mL, respectively) but with presence of HO failed to reach the level of laboratory-defined hyperparathyroidism of >65 ng/mL. Their PTH values were 40 and 56, respectively. If left untreated for low vitamin D for additional time, those two subjects may well have demonstrated secondary hyperparathyroidism. Both were in the second year of their SCI. Of the 18 subjects with normal vitamin D levels (≥32 ng/mL), all had therapeutic PTH values and only 1 subject had HO.

Table 2 summarizes the differences found in PTH levels and HO incidence among those with and without low vitamin D (serum D25(OH) levels of <32 ng/mL). Results indicate that presence of HO was correlated with elevated PTH. Vitamin D served as the stratification variable using a threshold of 32 ng/mL, since this represents the lower limit of the normal range (32–100 ng/mL). The calculation was performed using a one-sided Cochran-Mantel-Haenszel test, with odds ratio of infinity (95% confidence interval [CI]: 43.260–infinity, p < 0.001). However, presence of HO did not correlate specifically with a vitamin D level below 32 ng/mL by a two-sided Cochran-Mantel-Haenszel test, with odds ratio 1.953 (95% CI: 0.032–39.608, p = 0.51). Although we did observe an inverse relationship between vitamin D levels and PTH values, the correlation was not significant using 32 ng/mL as the division between abnormal and normal vitamin D values (p = 0.20).

Table 3 illustrates our findings for levels of vitamin D below 20 ng/mL. Similarly, the presence of HO was correlated with elevated PTH using the lower threshold of serum vitamin D25(OH) of 20 ng/mL as the stratification variable. This relationship was determined by a two-sided Cochran-Mantel-Haenszel test, with odds ratio of infinity (95% CI: 28.193–infinity, p < 0.001). Presence of HO did not directly correlate with a vitamin D level below 20 ng/mL either, when calculated by a two-sided Cochran-Mantel-Haenszel test, with odds ratio 0.554 (95% CI: 0.009–11.015, p > 0.99). However, correlation does exist between vitamin D level <20 ng/mL and elevated PTH. The latter finding was determined by a two-sided Fisher Exact test, with estimated odds ratio of 0.000 (95% CI: 0.000–0.476, p = 0.01).

Our results suggest that the risk of developing elevated PTH appears to have an onset between serum D25(OH) levels of 20 and 32 ng/mL. While values above 20 ng/mL but below 32 ng/mL may cause mild increases in PTH, their inclusion may present a confounder when differentiating subjects with clearly therapeutic levels (≥32 ng/mL) from those with clearly insufficient values (<20 ng/mL). In the best interest of patient care, giving persons with SCI vitamin D to maintain a minimum level of 32 ng/mL may avoid onset of hyperparathyroidism that can lead to HO.

FOLLOW-UP TO SUGGESTED TREATMENT FOR SUBJECTS WITH VITAMIN D DEFICIENCY

Available 1 yr follow-up data exists for five of nine subjects with low vitamin D, laboratory-defined hyperparathyroidism, and presence of HO. Subject 6 had a 9 mo follow-up visit, but the study concluded prior to his 1 yr follow-up. Subjects were treated with 1,000 IU of cholecalciferol twice daily (oral vitamin D3). All subjects admitted to partial degrees of noncompliance with medication but felt that they took the pills at least 5 of 7 d of
the week (72% compliant). Although each subject was offered nonsteroidal anti-inflammatory medications for discomfort, with appropriate gastrointestinal protection, most found this medication unsuccessful at treating discomfort from the HO, and compliance was well under 50 percent.

During the follow-up period, no subjects had additional HO formation after initiation of treatment with vitamin D, with or without etidronate. The latter had been prescribed only for those with HO who had not reached maturity. Within 3 mo of starting vitamin D treatment, the six subjects who presented for follow up had x-ray reports indicating that maturity of HO had been reached. Their triple-phase bone scans were no longer active, and PTH values had returned to the normal range in all but one subject, whose level was 71 ng/mL. Each subject had serum D25(OH) levels higher than at baseline but under the lower limit of normal (32 ng/mL).

**DISCUSSION**

In addressing the specific aims established at the outset of our study, we found that HO does appear to be more common in persons with hyperparathyroidism, but we were unable to support a direct correlation of HO with vitamin D levels. However, vitamin D25(OH) levels <20 ng/mL were significantly (negatively) correlated with hyperparathyroidism. While it is unknown what precise value of vitamin D may place an individual at risk of developing HO (through its correlation with hyperparathyroidism), we advise maintaining a serum vitamin D25(OH) level ≥32 ng/mL. Only one subject with therapeutic vitamin D levels demonstrated HO, and his condition may have been attributed to a paraneoplastic syndrome.

We had 23 subjects with vitamin D levels between 20 and 31 ng/mL. It could be that subjects with milder degrees of low vitamin D were identified early enough to prevent secondary hyperparathyroidism. An alternative explanation is that hyperparathyroidism is a secondary consequence of low vitamin D, and in our investigation, was seen only among chronic patients. Because only a limited number of subjects had vitamin D levels between 20 and 32 ng/mL, small sample size may contribute to lack of significant findings in this range.

**Rationale for Treatment of Low Vitamin D Levels**

Given the large number of medications already taken by those with SCI, both inpatient and outpatient, some may question the need to treat mild amounts of vitamin D deficiency. Garland et al. found that 24 percent of bone mineral density (BMD) in the distal femur of subjects with complete SCI is lost within 4 mo of SCI and 35 percent of BMD is lost within 16 mo [13]. While this early phase of SCI contains the period of greatest loss, Bauman and Spungen and Bauman et al. demonstrated continued BMD loss at a slower rate in the years following acute SCI [14–15]. The actual incidence of pathologic fractures in the population with SCI is approximately 6 percent, but this figure represents those with complete SCI, where partial movement is preserved [16]. Those with complete SCI (no motor or sensory function below the injury level [17]) are estimated to have a 10-fold greater fracture rate than subjects with incomplete SCI [16]. Given the potential contribution to continued osteoporosis following SCI, we advocate supplementation with vitamin D2 or D3 up to the reference range of 32 to 80 ng/mL.

The Endocrine Society guidelines recommend an intake of at least 600 to 800 IU oral vitamin D3 for adults in the therapeutic range but advise considerably higher doses of 50,000 units of ergocalciferol (vitamin D2) weekly for 8 wk if subjects are deficient [18]. Concerns have arisen that persons with SCI who receive vitamin D supplementation are at increased risk of kidney stones. Immobilization immediately following acute SCI does cause hypercalcemia and hypercalciuria [14], but no study has shown that supplementation with vitamin D in SCI increases this occurrence. In addition, several recent investigations of patients with a tendency for nephrolithiasis, who were treated for coexistent vitamin D deficiency, failed to show a relationship between new stone formation and vitamin D supplementation [19–21]. Eisner et al. examined 169 patients with variable levels of serum D25(OH) and found that urinary excretion of calcium was not statistically different among those with vitamin D deficiency (<20 ng/mL), those with vitamin D insufficiency (20–29 ng/mL), and those with serum D25(OH) levels ≥30 ng/mL [19].

Tang et al. studied 16,286 participants in the National Health and Nutrition Examination Survey [20]. Findings indicated that serum vitamin D25(OH) levels were not significantly different in stone and nonstone formers, and more specifically, that higher amounts of serum vitamin...
D25(OH) in the 40 to 50 ng/mL range were not associated with increased stone formation. While Leaf et al. did find higher urinary excretion of calcium in 11 subjects among a small sample of 29 known stone formers, no hypercalcemia was observed and no adverse sequelae arose from the increased urinary calcium [21]. They advocated that such patients be treated for vitamin D deficiency but recommended additional monitoring of 24 h urinary calcium excretion following supplementation.

In looking at the calcium levels of subjects in our study, all were within the reference range of 8.5 to 10.5 mEq/dL set by our clinical laboratory [10]. It appears that the role of PTH and its interactions with proteins expressed in the post-traumatic setting, such as bone morphogenetic protein (BMP), contribute more to the development of HO than do calcium levels alone. However, up to now, the mechanism of how HO forms at the cellular level has largely been speculative.

**Low Vitamin D Levels in African Americans**

Although normal vitamin D levels have traditionally been defined as ≥32 ng/mL [22–23], Wright et al. recently proposed that a lower minimum vitamin D value of 20 ng/mL may be adequate in African Americans [24]. Their conclusions are based on examination of large studies of African-American subjects with osteoarthritis. In these reports, PTH levels did not demonstrate upregulation unless serum vitamin D25(OH) levels were <20 ng/mL, suggesting that perhaps therapeutic values for this group have a lower limit of 20 ng/mL (range: 20–100 ng/mL) instead of 32 ng/mL (range: 32–100 ng/mL). Since hyperparathyroidism develops as a secondary effect to low vitamin D, it is appropriate to look at our chronic subjects in terms of our previous work [10]. However, we are unable to give clinical data to support the lack of complications in this borderline range of serum vitamin D in African Americans with chronic SCI, simply because 92.3 percent of subjects had levels less than 20 ng/mL, with just one subject between 20 and 31 ng/mL and one subject with ≥32 mg/mL. Based on uncertainty of the lower limit of normal and a window of normal values extending far above that, we encourage treatment of low vitamin D for persons of all ethnicities up to the value of 32 ng/mL, provided that an affected individual is able to tolerate oral supplementation.

Moreover, we believe that maintaining vitamin D levels in the normal range of ≥32 ng/mL is most desirable not only for HO prevention but also for many secondary health benefits as described in our previous work [10]. The current findings also suggest that keeping PTH within the normal range may prevent HO formation in the population with SCI. Because low vitamin D levels can develop within weeks of initial SCI, monitoring both vitamin D25(OH) levels and PTH levels is highly recommended in the weeks and months following initial SCI. In addition, annual surveillance of serum vitamin D values is also recommended since low vitamin D is even more common in the setting of chronic SCI.

**Proposed Mechanisms of Heterotopic Ossification Formation**

Chalmers et al. has suggested that three general conditions are needed for the formation of heterotopic bone: (1) the presence of osteogenic precursor cells, now believed to be primitive mesenchymal cells; (2) an inducing agent or stimulus that has been identified as BMP (BMP-2 vs BMP-4); and (3) a permissive environment friendly to osteogenesis [11]. The role of BMP was explored by Urist, who found that demineralized bone matrix can evoke bone formation ectopically when supplied with BMP [25]. BMP is released from healthy bone tissue in the settings of immobilization, trauma, venous stasis, and inflammation. These conditions are consistently observed in the early weeks following traumatic SCI [1]. Through the actions of BMP, mesenchymal cells in muscle are altered and transformed into osteoblasts, which then develop into mature bone cells.

Stover has affirmed that HO begins forming in the intramuscular connective tissue, first through an initial deposition of calcium phosphate, which later ossifies through deposition of hydroxyapatite crystals [26]. The hip is the most common joint affected, followed by the knee [26–27]. Elbow and shoulder joints are less commonly affected, as are smaller joints of the hand [28]. With rare exception, heterotopic bone is found below the neurological level of injury [26]. In traumatic SCI, as well as other traumatic conditions, the two primary factors that appear responsible for the etiology of bone deposition at a cellular and molecular level appear to be the presence of BMPs [29–32] and the ischemic degeneration of involved muscle [33–34]. The sources of BMPs in muscle are mesenchymal cells and endothelial cells; the target cells in the muscle that BMPs act upon are mesenchymal stem cells and smooth muscle cells [35].

In a series of cell line experiments, Benayahu et al. observed that when BMP was added to mesenchymal stromal cells that were multipotent in nature (capable of differentiating into osteoblasts, fibroblasts, adipocytes, or
other mesenchymal cells), alkaline phosphatase (ALK-P) activity increased by as much as 10-fold [36]. These premature, mesenchymal stem cells from bone marrow stroma have an inherent potential for proliferation and are considered preosteoblastic. In contrast, when BMP was added to a mature osteoblastic cell line, ALK-P activity increased by up to fivefold. We found that ALK-P activity was increased in both forms of BMP tested, BMP-2 and BMP-3, with BMP-2 generally exhibiting more expression.

The same series of experiments was repeated with the addition of PTH to each of the mesenchymal stromal lines [36]. The premature osteoblastic cell line was noted to have three times greater ALK-P activity after PTH was added. However, PTH did not further increase the ALK-P activity in the mature osteoblastic cell line. Finally, when premature cells supplied with PTH were then challenged with BMP-2, there was a 12-fold increase in ALK-P activity. These findings suggest that hyperparathyroidism and potentially even high normal levels of PTH may increase the risk of HO in settings of increased BMP, such as trauma and immobilization [36]. Apart from trauma, an essential anabolic action of PTH is the enhancement of osteoblastic activity [37], which may further increase the risk of HO.

One group has recently offered a new theory that could lead to novel treatments for the condition of HO. Medici and Olsen have proposed a mechanism of endothelial-mesenchymal transition (EndMT) [38], described as a form of dedifferentiation of endothelial cells to a stem cell phenotype. This complex can subsequently form one of three types of cells: bone, cartilage, or fat. Various promoters or inhibitors that influence this primitive stem cell or a downstream intermediate may direct the ultimate fate of the cell to its destination type. Based on their analysis, Medici and Olsen propose that vascular endothelial cells are the leading candidate for the cellular origin of HO and its presence in FOP. In this disorder, 50 percent of bone lesions arise from an endothelial origin, but the source of the remaining lesions is unknown. Animal experiments have recently failed to show a significant contribution from vascular smooth muscle cells [39–40]. It is unclear whether a similar mechanism involving cells of endothelial origin applies in patients with SCI. The idea that human origins of HO may be different from those observed in previously established animal models offers new insights on treatment for a condition that has largely been resistant to pharmacologic intervention.

Other than prescribing etidronate to prevent further mineralization in established cases of HO [6,35,41–42] and using nonsteroidal anti-inflammatory agents that are contraindicated in the immediate postoperative period of spine fracture stabilization, few interventions have been attempted. Except in cases of early identification and treatment, limited gains have been achieved from these noted measures. This leaves patients with the option of pursuing surgical resection of the area of HO involved once it matures, raising a number of concerns in patients who may have already undergone many procedures for their original injury. Surgery carries complications of blood loss, superficial or deep wound infection, osteomyelitis, further neurologic or vascular injury, and recurrence of HO [27,43–46]. Medici and Olsen report that vascular endothelial growth factor, as well as several EndMT-inducing transcription factors, may serve as potential inhibitors of HO formation and therefore offer another avenue of potential treatment for patients affected by HO [39].

CONCLUSIONS

HO appears to be more common in persons with elevated PTH. If vitamin D levels in patients with SCI are found to be low, supplementation to achieve a goal of serum vitamin D25(OH) ≥32 ng/mL should be recommended. PTH levels should be checked with initial and subsequent vitamin D testing and closely monitored through the first year after SCI. Maintaining therapeutic serum vitamin D levels will reduce the likelihood of developing HO by creating a less permissive environment for its formation. Findings in the literature suggest that BMP-2, combined with PTH in the setting of premature osteoblasts, can increase ALK-P activity. This upregulation may contribute to the occurrence of HO in persons with additional risk factors, including immobility, inflammation, and hyperparathyroidism, all commonly observed in those with traumatic SCI.

ACKNOWLEDGMENTS

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Financial Disclosures: The authors have declared that no competing interests exist.

Funding/Support: This material was based on work supported by intramural support from the University of Alabama at Birmingham and from Jefferson Medical College of Thomas Jefferson University.

Additional Contributions: The authors wish to thank J. Scott Richards, PhD; Amye B. McLain, MD; Samuel L. Stover, MD; and members of the research staff at the University of Alabama at Birmingham School of Medicine for their guidance and support of this project.

Institutional Review: Both the original study on vitamin D deficiency in traumatic SCI [10] and this current study (involving chart review and subject interview for information about HO) were approved by the Institutional Review Board of the University of Alabama at Birmingham School of Medicine.

Participant Follow-Up: Participants will not be informed of the publication of this study because the corresponding author no longer works at the University of Alabama at Birmingham School of Medicine. Study results will be summarized in clinic handouts that are available to all patients in their system. However, individual communication is not anticipated.

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http://dx.doi.org/10.1080/07315724.2003.10719287

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   http://dx.doi.org/10.1038/sj.sc.3101305

Submitted for publication November 15, 2012. Accepted 
in revised form May 28, 2013.

This article and any supplementary material should be 
cited as follows:
Oleson CV, Seidel BJ, Zhan T. Association of vitamin D 
deficiency, secondary hyperparathyroidism, and hetero-