

## Sound transmission by cartilage conduction in ear with fibrotic aural atresia

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**Abstract**—A hearing aid using cartilage conduction (CC) has been proposed as an alternative to bone conduction (BC) hearing aids. The transducer developed for this application is lightweight, requires a much smaller fixation force than a BC hearing aid, and is more convenient to use. CC can be of great benefit to patients with fibrotic aural atresia. Fibrotic tissue connected to the ossicles provides an additional pathway (termed fibrotic tissue pathway) for sound to reach the cochlea by means of CC. To address the function of fibrotic tissue pathway, BC and CC thresholds were measured in six ears with fibrotic aural atresia. The relationship between the CC thresholds and the results of computed tomography was investigated. In the ears with the presence of a fibrotic tissue pathway, the CC thresholds were lower than the BC thresholds at 0.5 and 1.0 kHz. At 2.0 kHz, no significant difference was observed between the BC and CC thresholds. The current findings suggest that sound in the low to middle frequency range is transmitted more efficiently by CC via a fibrotic tissue pathway than BC. The development of hearing devices using CC can contribute to rehabilitation, particularly in patients with fibrotic aural atresia.

**Key words:** acquired aural atresia, airborne sound, binaural hearing, bone-anchored hearing aid, bone conduction, external auditory canal, fibrotic tissue pathway, hearing aid, occlusion effect, soft tissue pathway.

### INTRODUCTION

Hearing loss results in reduced communication in daily life and is a major factor contributing to reduced

quality of life. Aural atresia is a hearing disorder that is difficult to treat. Conventional hearing aids using air conduction (AC) provide little benefit because of the fixation problem, feedback oscillation, and insufficient gain [1]. Methods of intervention include surgical treatment or fitting of bone conduction (BC) hearing aids [2]. However, surgical treatment involves the risk associated with the operation [3–4] and sometimes results in the stenosis and lateralization of the repaired ear canal and worsened hearing improvement after long-term observation [5–6]. For BC hearing aids, the transducer has to be tightly pressed against the mastoid [2] or directly fixed with an attachment screw embedded in the bone, referred to as a bone-anchored hearing aid [7–8]. Unfortunately, both methods involve disadvantages. For conventional BC hearing aids, the transducer has a relatively large mass and a large fixation force is needed for the device to function properly. Long-term use can also cause skin irritation, long-continued depressions in the skin, and discomfort [2]. For bone-anchored hearing aids, surgery

**Abbreviations:** AC = air conduction, BC = bone conduction, CC = cartilage conduction, CT = computed tomography, ISO = International Organization for Standardization.

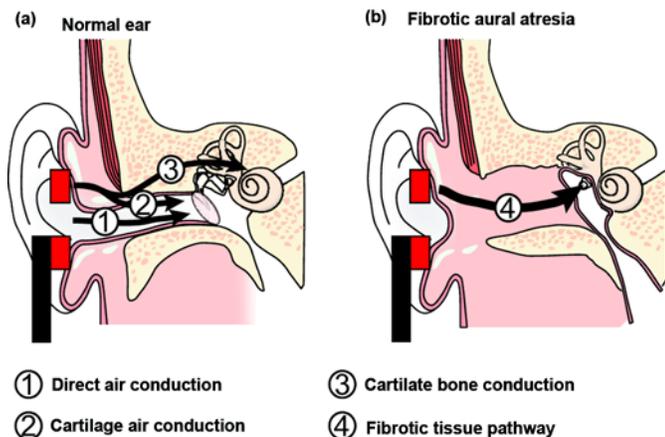
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is required, the portion of the implant exposed to open air can induce infection, and some cases require revision surgery because of skin overgrowing the abutment [9–10].

Hosoi found that a clear sound can be heard when a vibration signal is delivered to the aural cartilage from a transducer [11]. This form of signal transmission is referred to as cartilage conduction (CC). Using CC, a novel hearing device was developed for patients with conductive hearing loss and for whom a conventional AC hearing aid was not effective [12–13]. A later study demonstrated the superior benefit of a CC hearing device, especially in patients with postoperative aural atresia [14]. These results suggest that novel hearing devices can be developed using CC as an alternative to BC in hearing aids and other audiological instruments.

When the CC transducer is placed on the aural cartilage, sound is transmitted to the cochlea via three possible routes in an anatomically normal ear (**Figure 1(a)**). In the first pathway, vibrations of the transducer produce airborne sounds, some of which reach the ear canal and are transmitted to the cochlea via the conventional pathway for AC. Such stray sound is also radiated by BC transducers [15–16]. This pathway is termed direct AC. In the second pathway, vibrations of the aural cartilage



**Figure 1.**

Sound transmission pathway of cartilage conduction. **(a)** In normal anatomical ear, sound is transmitted to cochlea via three possible routes. **(b)** In ear with fibrotic aural atresia, fibrotic tissue in ear canal blocks air conduction. However, if fibrotic tissue is connected to ossicles, sound is transmitted via connection to cochlea. This fourth pathway is termed fibrotic tissue pathway.

are transmitted to the cartilaginous portion of the ear canal. These vibrations induce an acoustic signal in the canal that is transmitted by AC to the eardrum. This pathway is termed cartilage AC, which is a different pathway that is not part of either the AC or BC pathways. In the third pathway, vibrations of the aural cartilage are transmitted to the cochlea via the skull bone. This pathway is termed cartilage BC.

There are important differences between the proposed method of delivering sound by means of CC and the conventional method of delivering sound by means of BC. A major difference between CC and BC is the fixation position of the transducer. CC allows for a small, lightweight transducer to be placed conveniently on the aural cartilage for sound transmission. In BC, the fixation position is either the mastoid or forehead bone, which is some distance from the ear. There is also the problem of transcranial transmission with BC. The precision of transducer placement is also not well controlled for mastoid placement, leading to relatively large test-retest variability in BC measurements. A second, more important difference is the fixation force. A small fixation force of 0.06 N is sufficient for sound transmission with a CC transducer. In contrast, a BC transducer requires a fixation force of 5.4 N, which is almost one hundred times larger and is a source of discomfort in BC hearing aids.

In an ear with aural atresia, most of the airborne sound in the ear canal cannot reach the cochlea as with direct AC. There is, however, an additional pathway for CC in an ear with fibrotic aural atresia. In a previous study by Nishimura et al., the ear canal was occluded with fibrotic tissue, not bony tissue [14]. In addition, the fibrotic tissue was connected to the stapes, thereby providing a fourth pathway for CC sound to reach the cochlea. This fourth pathway in fibrotic aural atresia is termed the fibrotic tissue pathway of CC (**Figure 1(b)**). Nishimura et al. obtained a large gain below 2 kHz in patients with a fibrotic tissue pathway using the prototype CC hearing aid [14].

This observation led to the underlying rationale for the current study. We hypothesized that for those ears showing a fibrotic tissue link to the ossicles, the CC threshold will be lower than that for BC at frequencies below 2.0 kHz. In order to test this hypothesis, BC and CC thresholds were measured for outpatients in our hospital with fibrotic aural atresia that had already been diagnosed using computed tomography (CT) scans.

## METHODS

Six patients with acquired aural atresia participated in the study. The **Table** shows the characteristics of the subjects. Their ear canals were occluded with fibrotic tissue, which was induced after surgical operation in five subjects. By chance, the laterality of the aural atresia was right in all subjects. The experimental procedure was approved by the ethics committee of Nara Medical University. Participants provided written informed consent.

The thresholds of AC and BC were measured by a conventional pure tone audiometer (AA-78, Rion Co, Ltd; Tokyo, Japan). The AC and BC stimuli were presented to the ear and mastoid using earphones (AT-02, Rion Co, Ltd) and a bone vibrator (BR-41, Rion Co, Ltd), respectively. The earphones and bone vibrator were calibrated with a sound pressure meter (AG-64, Rion Co, Ltd) and artificial mastoid (type 4930, Brüel & Kjær; Nærum, Denmark) according to International Organization of Standardization (ISO) 389-1:1998 and ISO 389-3:1994, respectively [17–18]. For CC, the transducer was placed on the cavity of the concha except for subject 3. In subject 3, it was fixed on the tragus with a commercial tape because it could not be hung on the cavity of the concha because of postoperative deformation. The property of the transducer is described later.

Thresholds were obtained at frequencies of 0.5, 1.0, 2.0, and 4.0 kHz, respectively. Tone bursts of 300 ms, including rise and fall ramps of 50 ms, were employed for the stimulus. The signals were generated by a function generator (WF1946, NF Corporation; Yokohama, Japan), and the intensity was controlled by a programmable attenuator (PA5.0, Tucker-Davis Technologies Inc; Alachua, Florida). The threshold was determined by the same ascending method as in conventional audiometry. The opposite ear was masked by a narrow band noise

using a plateau method. The experiment was performed in a soundproof room.

**Figure 2** shows the CC transducer. The output level of the CC transducer was calibrated with the artificial mastoid (type 4930, Rion Co, Ltd) in the same manner as BC. In the calibration of the BC transducer, it is fixed to the artificial mastoid with the fixation force of 5.4 N, which is the same as the fixation to the mastoid for the threshold measurement. In contrast, the CC transducer was held in place by a combination of its own weight and the stiffness of the conchal cartilage. The CC transducer weighs 6 g, and the fixation force, excluding the stiffness of the conchal cartilage, was estimated to be approximately 0.06 N. The force exerted by the stiffness of the conchal cartilage is similarly relatively low. However, the transducer has to be tightly fixed to the artificial mastoid in order to measure the force level. Thus, the CC transducer was also fixed to the artificial mastoid with the fixation force of 5.4 N in the same manner as the BC transducer. The output level of CC was represented in hearing level based on ISO 389-3:1994 [18]. The fixation force is an important factor for the sound transmission via BC [19–20]. Because the CC transducer was placed on the cavity of the concha with a force much less than 5.4 N, the efficiency of sound conduction from CC transducer to cartilage was expected to be less than that for BC transducer to bone.

## RESULTS

**Figure 3** shows the audiograms for the ears with fibrotic aural atresia. All the audiograms show a large air-bone gap due to the aural atresia. **Figure 4** shows the results of CT. Soft tissue density was observed in the ear canals, implying fibrotic aural atresia. For subject 1, the bony portion was maintained and fibrotic tissue did not

**Table.**

Characteristics of patients. Laterality is all right side.

Subject	Age (yr)	Sex	Cause of Fibrotic Aural Atresia	Condition of Opposite Ear
1	10	F	Reatresia after operation of congenital fibrotic aural atresia and cholesteatoma in occluded ear canal.	Normal
2	70	F	Chronic irritation and inflammation.	Chronic otitis media
3	47	M	Operation of carcinoma of ear canal.	Normal
4	76	F	Operation of carcinoma of ear canal.	Profoundly deaf
5	45	F	Operation of carcinoma of ear canal.	Normal
6	74	M	Operation of carcinoma of ear canal.	Sensorineural hearing loss

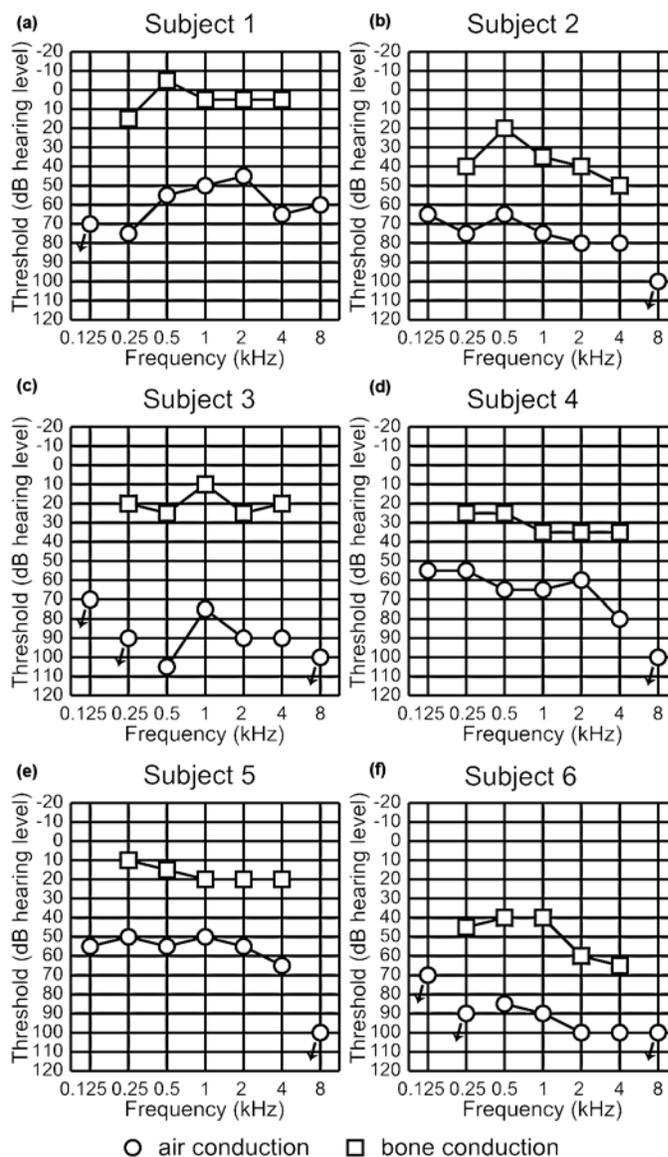
F = female, M = male.



**Figure 2.** Cartilage transducer. Transducer comprises piezoelectric bimorph and covering material. Ring made of acrylic acid resin is glued to transducer tip. Outer and inner diameters of ring are 16 and 8 mm, respectively. Its thickness is 5 mm. Total weight of transducer is 6 g.

exist in the bony portion. In contrast, for subject 2, the bony portion was filled with fibrotic tissue induced by irritation and inflammation. For subjects 3, 4, 5, and 6, the bony portion of the ear canal was resected in the operation of carcinoma of the ear canal. With regard to the connection between occluding fibrotic tissue and ossicles, the CT scans for subjects 2, 4, 5, and 6 show a substantial connection of occluding fibrotic tissue with the ossicles, implying the presence of a fibrotic tissue pathway. There is no such connection evident in the CT scans for subjects 1 and 3.

**Figure 5** shows the comparison of BC and CC thresholds. In the ears with a fibrotic tissue pathway (subjects 2, 4, 5, and 6), the CC thresholds were lower than the BC thresholds at frequencies of 0.5 and 1.0 kHz. At 2.0 kHz, no significant difference was observed between the BC and CC thresholds. At 4.0 kHz, the BC threshold was lower in subjects 2, 4, and 5. In the ears without a fibrotic tissue pathway (subjects 1 and 3), the CC thresholds were



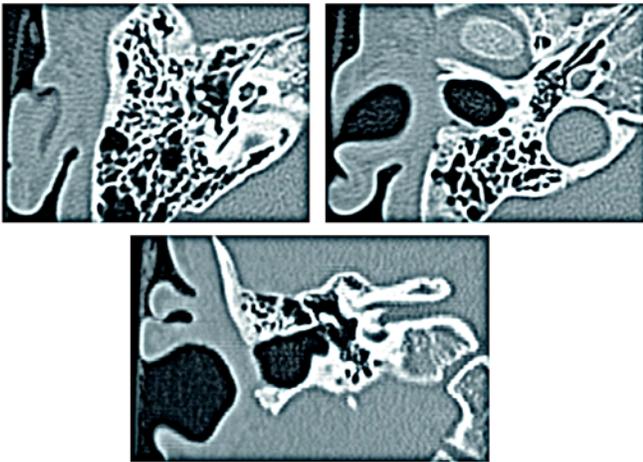
**Figure 3.** Audiograms in (a)–(f) six subjects. Arrows show that threshold was higher than masked level. It was not determined within current maximum output level.

lower than the BC thresholds at 0.5 kHz but not at higher frequencies.

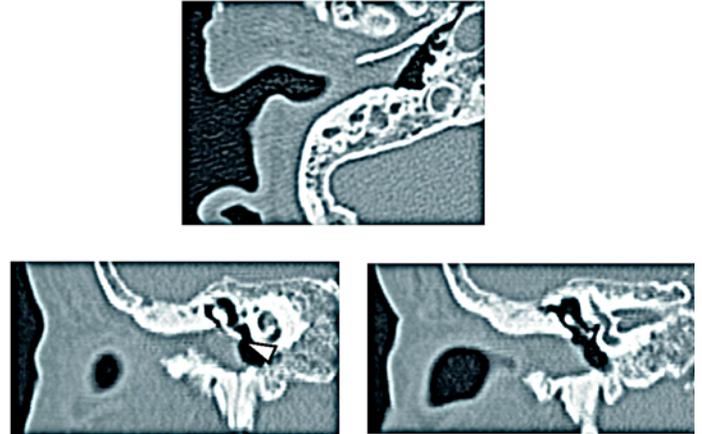
## DISCUSSION

The main hypothesis is supported in that ears with a fibrotic tissue pathway, as determined by the CT scans, showed lower CC thresholds than BC thresholds at

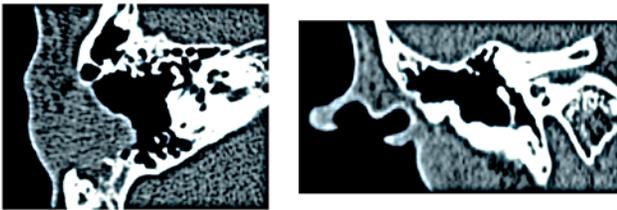
(a) Subject 1



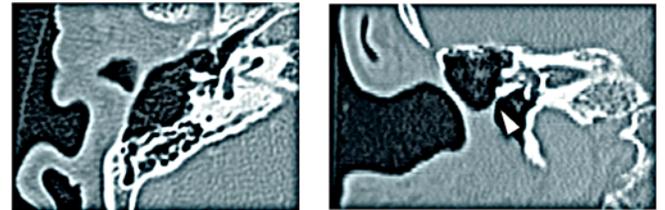
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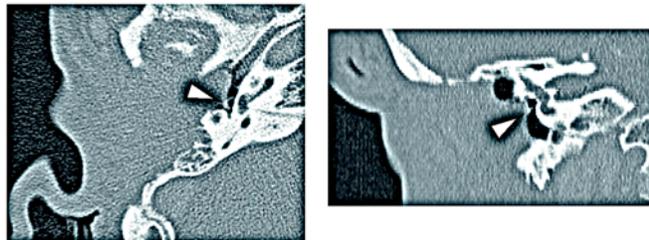
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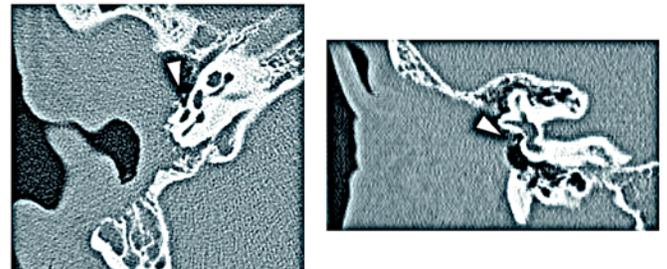
(d) Subject 4



(e) Subject 5



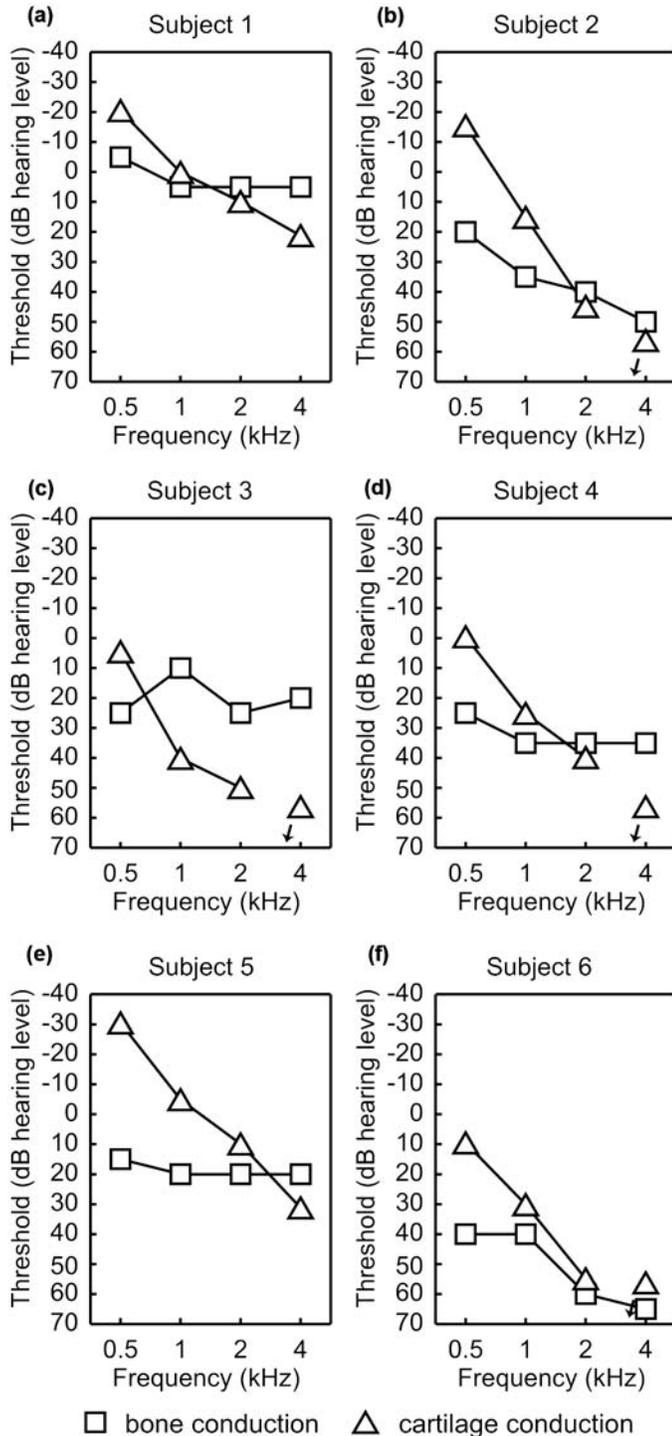
(f) Subject 6



**Figure 4.** Results of computed tomography in (a)–(f) six subjects. Triangles indicate connection of fibrotic tissue to ossicles.

frequencies below 2.0 kHz. We agree that the connection of the fibrotic tissue to the ossicles created a fourth pathway for CC sound to reach the cochlea (the fibrotic tissue pathway), thereby lowering the CC thresholds. Note that the CC thresholds at 4.0 kHz were substantially poorer than those for BC. Our previous study showed low gain at 4 kHz for the prototype CC hearing aid [14]. The current results are consistent with the gain of the prototype CC hearing aid as a function of frequency.

When the fibrotic tissue is not connected to the ossicles, the transmission pathway to the cochlea has to involve the skull bone or the air cavity between the fibrotic tissue and ossicles. In the case of the cartilage BC pathway, the fixation force is an important factor for efficient sound transmission, particularly at high frequencies [19–20]. The low fixation force of the CC transducer may account for the poor CC thresholds at high frequencies. In the case of the cartilage AC pathway, airborne sounds



**Figure 5.** Comparison of threshold in force level between cartilage and bone conduction in (a)–(f) six subjects. Arrows show that threshold was higher than masked level. It was not determined within current maximum output level.

from the fibrotic tissue have to vibrate the ossicles without the tympanic membrane. Considering the elevation in thresholds for the ear with the lateralized tympanic membrane [21], sound transmission without a fibrotic tissue pathway is not efficient.

Despite the inefficient transmission without a fibrotic tissue pathway, the CC threshold at 0.5 kHz was lower than that of BC. A possible explanation for the low CC threshold at 0.5 kHz is the occlusion effect. When the ear canal is occluded, a low frequency resonance is introduced such that the threshold for airborne sounds in the canal is lowered in the region of 0.4 to 1.3 kHz [22]. Several factors contribute to the measured CC threshold, and it is not clear which is the dominant factor as a function of frequency. The findings of this study identify a factor that has not been considered in previous investigations, that of a fibrotic tissue pathway in ears with fibrotic aural atresia.

The current findings demonstrated the function of a fibrotic tissue pathway. An ear in which a fibrotic tissue pathway is present has characteristics that are advantageous with respect to the development of an improved CC hearing aid. Compared with AC, sound is delivered by vibrating the aural cartilage, which is not mediated by the air. The acoustic feedback resulting from the impedance mismatch between the air and fibrotic tissue is substantially less than that for an anatomically normal ear. As a consequence, the gain of the hearing aid can be greater for CC than for conventional AC before the onset of uncontrolled acoustic feedback (whistling). Compared with BC, the lower CC thresholds indicate more efficient sound transmission at low to middle frequencies. Vibration of the skull bone is not needed for sound transmission in CC. The output level from the CC transducer is sufficient if it can vibrate the aural cartilage and fibrotic tissue. For this application, the smaller size and lower weight of the CC transducer relative to a BC transducer are significant advantages. A more substantial advantage is that the fixation force for the CC transducer is about one hundredth of that required for a BC transducer (0.06 N vs 5.4 N). The large fixation force required for BC transducers is a major source of discomfort with BC hearing aids. Sound transmission in bone is more efficient than in cartilage, which has both advantages and disadvantages. For example, attenuation of sound across the skull is small, resulting in significant transcranial stimulation in a BC hearing aid [23–24]. Crossover stimulation results in additional stimulation of the cochlea contralateral to the ear with the BC

transducer, thereby reducing the efficacy of binaural hearing [25]. In contrast with the fibrotic tissue pathway, because the force levels at the thresholds for CC were lower than those for BC, the transmission of CC sound is dominantly mediated by not the skull bone but the fibrotic tissue that connects to the ipsilateral cochlea. Consequently, CC sound is perceived by the ipsilateral ear with negligible crossover to the contralateral ear. A binaural CC hearing aid can thus maintain the benefits of binaural hearing, unlike the loss of these benefits with a binaural BC hearing aid [13].

## CONCLUSIONS

In the ear with fibrotic aural atresia, the connection of the fibrotic tissue to the ossicles contributes to more efficient sound transmission by means of CC. In the presence of this fibrotic tissue pathway, CC is more efficient than BC while also providing advantages over BC in terms of transducer weight, substantially smaller fixation force, and greater convenience and comfort. The development of hearing devices using CC can contribute to rehabilitation, particularly in patients with fibrotic aural atresia.

Whereas it is recognized that the incidence of aural atresia is relatively low, with an estimated annual incidence of 0.6 cases per 100,000 inhabitants [26], the estimated number of new cases per year in the United States is 2,000, which is not an insignificant number. It is also likely that veterans with hearing damage resulting from blast injuries will have a significantly higher incidence of acquired aural atresia as a result of damage to the ear and related surgical intervention.

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### Author Contributions:

*Study concept and design:* T. Nishimura, H. Hosoi.

*Acquisition of data:* C. Morimoto, O. Saito, F. Fukuda.

*Analysis and interpretation of data:* R. Shimokura, T. Yamanaka.

*Drafting of manuscript:* C. Morimoto, T. Nishimura.

*Study supervision:* H. Hosoi.

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**Participant Follow-Up:** The authors do not plan to inform the participants of the publication of this study due to a lack of contact information.

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