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# Training with robot-applied resistance in people with motor-incomplete spinal cord injury: Pilot study

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Abstract—People with motor-incomplete spinal cord injury (m-iSCI) can recover basic walking function but still have difficulty performing the skilled walking required for everyday environments. We hypothesized that a robotic-based gait rehabilitation strategy founded on principles of motor learning would be a feasible and potentially effective approach for improving skilled walking in people with m-iSCI. Fifteen individuals with chronic (>1 yr) m-iSCI were randomly allocated to body weight-supported treadmill training (BWSTT) with Lokomat-applied resistance (Loko-R) or conventional Lokomat-assisted BWSTT (Control). Training sessions were 45 min, 3 times/week for 3 mo. Tolerance to training was assessed by ratings of perceived exertion and reports of pain/ soreness. Overground skilled walking capacity (Spinal Cord Injury-Functional Ambulation Profile [SCI-FAP]), as well as walking speed and distance, were measured at baseline, posttraining, and 1 and 6 mo follow-up. Our results indicate that Loko-R training could be feasibly applied for people with miSCI, although participants in Loko-R tended to report higher levels of perceived exertion during training. Participants in the Loko-R group performed significantly better in the SCI-FAP than Control at posttraining and in follow-up assessments. This study provides evidence that Loko-R training is feasible in people with m-iSCI. Furthermore, there is preliminary evidence suggesting that Loko-R may help improve performance in skilled overground walking tasks.

**Clinical Trial Registration:** ClinicalTrials.gov; NCT00610974. "Enhancing Walking in People With Incomplete Spinal Cord Injury: a Pilot Study"; <u>https://clinicaltrials.gov/ct2/show/</u> NCT00610974

**Key words:** body weight support, functional ambulation, gait training, Lokomat resistance, motor-incomplete SCI, motor learning, robotics, skilled walking, spinal cord injury.

**Abbreviations:** 6MWT = 6 min walk test, 10MWT = 10 m walk test, AIS = American Spinal Injury Association Impairment Scale, ANCOVA = analysis of covariance, ANOVA = analysis of variance, BWS = body weight support, BWSTT = body weight-supported treadmill training, CI = confidence interval, Loko-R = Lokomat-applied resistance, MDC95 = 95 percent minimal detectable change, m-iSCI = motorincomplete spinal cord injury, MVC = maximum voluntary contraction, RPE = rating of perceived exertion, SCI = spinal cord injury, SCI-FAP = Spinal Cord Injury-Functional Ambulation Profile, SD = standard deviation.

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# **INTRODUCTION**

It is estimated that almost half of all people with spinal cord injury (SCI) have motor-incomplete injuries (American Spinal Injury Association Impairment Scale [AIS] C or D) [1–2], meaning that there is some preservation of voluntary motor function below the level of injury. It is possible for people with motor-incomplete SCI (m-iSCI) to recover basic walking function, especially with intensive, task-specific gait training [3]. Body weight-supported treadmill training (BWSTT) is one such approach that has garnered intense interest over the years as a promising rehabilitation intervention to promote the recovery of walking in people with motorincomplete SCI [4]. This has even led to the development of robotic devices, such as the Lokomat (Hocoma AG; Volketswil, Switzerland) [5], to facilitate the implementation of BWSTT. The key principles of BWSTT have included the provision of partial body weight support (BWS) through a harness system so that the person bears as much weight as possible and the repetitive cycling of the leg through gait motions, which is enabled by the treadmill and assisted by therapists or robotic devices [6-8]. The provision of relevant locomotor-related sensory cues and the resulting motor activity elicited through this type of task-specific training is thought to underlie functional retraining and reorganization of the nervous system [3,9].

However, the scientific evidence so far suggests that BWSTT is not better than overground gait training in people with SCI [4]. In the largest clinical trial in SCI gait rehabilitation to date, individuals with subacute m-iSCI who underwent BWSTT had equivalent outcomes in functional walking capacity as those who underwent the same intensity of overground gait training [10–11]. Although there was some debate as to whether the overground training used in this clinical trial was reflective of a realistic "conventional" therapy [12–13], the results were consistent with the concept that intensive practice and task-oriented gait retraining (whether it is provided by BWSTT or overground practice) can improve walking outcomes.

For people with chronic (>12 mo) SCI, it is still unclear what specific gait training modality provides the best outcomes. There is evidence that manually assisted BWSTT can significantly improve functional balance and ambulation [14]. Others have also shown better outcomes in walking speed and distance as well as in the quality of gait kinematic patterns in people who underwent BWSTT than in those who received overground gait training [15]. However, recent results from one of the largest randomized controlled studies in gait rehabilitation for chronic SCI to date have shed some doubt on the superiority of this approach. Field-Fote and Roach found that overground training combined with functional electrical stimulation (FES) to the ankle dorsiflexor muscles was superior to BWSTT, BWSTT with FES, and robot (Lokomat)-assisted treadmill training [16]. Indeed, the introduction of robotics technology for gait rehabilitation, intended to facilitate the delivery of intensive, taskoriented gait training, has raised even more questions about the clinical utility of expensive technology in rehabilitation. A review of clinical studies on the effectiveness of robotic training devices in SCI concluded that robot-assisted gait training is no better than other gait training modalities [17]. Studies of robot-assisted gait training in other neurological populations have reached similar conclusions [18-19].

So far, it appears that BWSTT and robot-assisted gait training have fallen short of their promise [20]. One possibility is that these training modalities do not provide adequate challenge to drive motor learning. It is known that sensory input is a key modulator of locomotor output [21], and indeed, this concept formed the basis for the promise of task-specific locomotor training (such as that provided by BWSTT) for improving walking following neurological injury [3]. Sustained alterations in the movement environment can also mediate longer-term locomotor adaptations via feedback-error learning (recalibration of motor commands based on changes in sensory feedback) [22-23]. Several studies have shown that sustained (several minutes) exposure to forces that resist lower-limb flexion during walking (e.g., using robotic devices or attaching weights around the leg) lead to changes in the locomotor command that are revealed in the aftereffects (e.g., high stepping) that are observed as soon as the forces are removed [24-29]. The presence of aftereffects suggests the formation of anticipatory locomotor commands in response to the resistance and is an example of how feedback-error learning can be used to enable locomotor adaptations. Feedback-error learning during walking can be used to elicit locomotor adaptations in individuals with m-iSCI [30-32]. Following short-term adaptation to a robot-applied resistance against hip flexion, aftereffects in the form of a longer stride length are observed [30,32]. These aftereffects

These results raise interesting possibilities for the design of new gait rehabilitation strategies for people with m-iSCI. Recent studies have provided some indication for the potential benefits of a training program based on strategies that can elicit locomotor adaptations based on feedback-error learning. Using a crossover design, Wu et al. compared robot-applied resistance versus assistance over an 8 wk BWSTT program [33]. Significant increases in overground walking speed were observed following training, but no differences were found between resistance and assistance training [33]. Given that resistance training enables specific aftereffects in the dynamic control of the swing phase [24–29], it is possible that simple tests of overground walking speed may not adequately capture the particular advantages of this approach to locomotor training, i.e., walking tasks where the dynamic control of leg flexion is particularly important (such as stepping over an obstacle).

In people with m-iSCI, diminished hip, knee, and/or ankle flexion are commonly observed during swing, compromising foot clearance height [34-36]. Such impairments likely also contribute to deficits in the ability to adapt to the demands of skilled walking tasks, such as walking up slopes [37] or crossing obstacles [38]. Given these functional considerations, it seems warranted to further investigate the potential benefits of gait training combined with resistance. In people with chronic stroke, we have shown that up to 12 wk of BWSTT combined with leg weights around the paretic limb could improve functional ambulation, including the ability to climb stairs [39]. A recent case report study of the effect of a 3 mo training study using Lokomat-applied resistance in an individual with chronic m-iSCI also showed very promising results [40]. Improvements were noted not only in overground walking speed and distance but also in skilled walking tasks, such as obstacle crossing and stair climbing, as well as the kinematic quality of the gait pattern [40]. The results from these studies are encouraging, but controlled studies are required to understand the potential benefits of resistance training combined with BWSTT. Therefore, the purpose of this pilot study was to determine the feasibility and evaluate the potential efficacy of Lokomat-applied resistance (Loko-R) training on functional ambulation, especially skilled overground walking, in people with chronic m-iSCI.

# METHODS

# **Participants**

Individuals with m-iSCI (AIS C or D) were invited to participate in this study. Participants were required to have, at minimum, the ability to walk on a treadmill with BWS but without manual assistance. The inclusion criteria were (1) m-iSCI at least 1 yr ago and (2) 19 to 65 yr old. The exclusion criteria were (1) lesion level below thoracic 11 or lower motoneuron injury; (2) inability to step even with the help of a treadmill and partial BWS; (3) weight > 300 lb or height > 6 ft 1 in. (size limitations of Lokomat); (4) presence of cardiac, musculoskeletal, or other condition for which exercise is contra-indicated; and (5) participation in rehabilitation therapy or other research study with exercise or mobility outcomes. All participants provided written informed consent and all procedures were approved by the University of British Columbia Clinical Research Ethics Board.

# **Study Design**

We employed a double-blind, stratified, randomized controlled trial design to compare BWSTT with custom software control of the Lokomat to apply resistance against the hip and knee (Loko-R) [26,40] versus conventional Lokomat-assisted BWSTT (Control) [8,41–42]. Participants were not informed about the specific purpose or hypothesis of the study; they were told only that the amount of assistance provided by the Lokomat would differ between the two groups. None of the participants had prior experience with Lokomat training. A blinded assessor (A.F.) assessed all outcome measures, which were recorded at baseline, posttraining, and 1 and 6 mo follow-up.

Participants were screened for eligibility prior to determining their group allocation. They were then stratified according to the Wheeled Mobility Scale [43]. Participants were asked to report which category of the Wheeled Mobility Scale applied to them and were stratified based on a score of 5 or above (denoting the ability to walk, at minimum, for exercise at home). They were then randomized to either Loko-R or Control. Once the intervention was assigned, baseline assessment procedures were performed.

#### **Training Protocol**

Training parameters for both groups consisted of 45 min Lokomat-based training sessions (not including rest breaks), 3 times/week for 3 mo.

# Experimental Intervention: Lokomat-Resisted Body-Weight Supported Treadmill Training (Loko-R)

BWSTT was implemented using custom software control of the Lokomat [26]. The drives were programmed to apply a velocity-dependent resistance against the hip and knee with the amount of resistance at each joint defined by  $M = -B \times v$ , where *M* is the amount of resistance, *B* is the viscous coefficient (in N-m-s/rad), and *v* is the joint angular velocity. When B = 0, no force is applied and the participant can step freely on the treadmill. (Note that in this software control mode, the Lokomat never assists leg movements.) When a value is assigned to *B*, the Lokomat applies a resistance proportional to the instantaneous joint angular velocity.

To determine the resistance level, participants first performed isometric muscle testing using the Lokomat's "L-Force" feature [44]. Participants were secured to the Lokomat and lifted above the treadmill with the BWS harness. For L-Force testing, the Lokomat's legs were fixed, with the hips at 30° of flexion and the knees at 45° of flexion, and participants were asked to push as hard as possible against the device. The hip and knee were tested separately, and three trials each were performed to calculate an average maximum voluntary contraction (MVC) for the hip and knee flexors bilaterally. Second, the participant walked with the Lokomat at his or her current treadmill training speed with B = 0. One to two minutes of data from the Lokomat's hip and knee joint position sensors were recorded at 1,000 Hz. Using a custom-written MAT-LAB routine (MathWorks; Natick, Massachusetts), the average hip and knee angular velocity during swing were determined. These values were then used to determine the desired B values for training by defining M as 10 percent of MVC of each joint. B values were reassessed in this way every four to six training sessions.

The level of BWS was adjusted to the minimum tolerated by the participant while ensuring appropriate stance phase kinematics [8,42,45]. In the first training session, the speed was initiated at 1.0 km/h (the lowest speed in the Lokomat). Treadmill speed was subsequently increased by increments of 0.1 km/h. Tolerance to a given speed was evaluated by observing the participant's ability to place his or her feet anterior to the hip joint at foot contact. If the participant was able to keep up with the treadmill speed for at least 5 min, another increment of 0.1 km/h was added. In participants with weak or paralyzed ankle dorsiflexion, the Lokomat's passive foot lifters were used to maintain the ankles in a neutral position and ensure that the foot cleared the treadmill during swing.

Tolerance to training was gauged by using the rating of perceived exertion (RPE) with the Borg CR10 scale [46]. The Borg CR10 was administered at the beginning of each rest break. Participants were also asked to report any pain or symptoms related to autonomic dysreflexia (e.g., excessive sweating, dizziness, nausea, blurred vision) and whether any of these interfered with their ability to continue the training session. Participants took rest breaks as desired.

# Control Intervention: Lokomat-Assisted Body Weight-Supported Treadmill Training (Control)

Participants in this group underwent "conventional" Lokomat-based training, as reported in previous studies, where the Lokomat provides assistance to gait movements [8,41–42]. In this mode, the Lokomat's hip and knee joint motors move the participants' legs along a preset trajectory that is based on normative gait kinematics. Progression of BWS and treadmill speed followed the same protocol as described previously.

### **Outcome Measures**

To compare training parameters between groups, we calculated a weighted average BWS and treadmill speed (taking into account the amount of time at each BWS/ treadmill speed) for each session. Tolerance to training was estimated by recording the total session duration (giving an indirect measure of the amount of rest required) and RPE. For RPE, values recorded at approximately the midpoint and end of each training session were averaged to obtain a session RPE. We also calculated an "exercise score," modified after Foster et al. [47], to quantify the volume of exercise for comparison between groups. The exercise score was calculated by multiplying session RPE by training duration. Tolerance to training was also gauged by the reports of pain or symptoms related to autonomic dysreflexia.

The primary outcome measure to assess the potential efficacy of Loko-R was skilled walking capacity, as assessed by the Spinal Cord Injury-Functional Ambulation Profile (SCI-FAP) [48]. The SCI-FAP consists of

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seven walking tasks: (1) 5 m carpet walk, (2) Timed "Up and Go" test, (3) 5 m obstacle-crossing task, (4) walking up and down a set of four stairs, (5) opening a door and walking through a doorway, (6) stepping up and off a 21 cm high block, and (7) walking 5 m while carrying a bag weighing 5 lb. The time required to complete each task was multiplied by a factor reflecting the use of assistive aids or personal assistance, and the values for each task were then summed to provide a total score. Lower scores reflect better performance. The SCI-FAP has been shown to be valid and reliable in people with m-iSCI [48]. We also assessed the 10 m walk test (10MWT), taking an average of three trials at self-selected pace, and 6 min walk test (6MWT), which are both valid and reliable measures of walking capacity in SCI [49].

# **Statistical Analysis**

All statistical analyses were assessed at a significance level of 0.05 and conducted using SPSS version 20 (IBM Corporation; Armonk, New York). Descriptive statistics were used to characterize the age, weight, height, time postinjury, and incidence of negative symptoms reported by participants. Student *t*-tests (for parametric measures) or Mann-Whitney *U*-tests (for nonparametric measures) were used to test for differences in outcome measures at baseline between the two groups.

To compare training parameters between groups, BWS and treadmill speed averaged over the first and last three training sessions were compared using a  $2 \times 2$  analysis of variance (ANOVA). Inspection of the data showed steady progression of BWS and treadmill speed over the training program across all subjects, so we felt that using the first and last three training sessions would appropriately represent these data. Similarly, to compare tolerance to training between groups, averaged session durations, session RPEs, and exercise scores over the first and last three training sessions were compared using a  $2 \times 2$  ANOVA.

For the ambulation outcomes, we conducted a preliminary efficacy analysis involving all participants who did not miss any of the assessment sessions. We also conducted an intention-to-treat analysis in which missing data were imputed by estimation-maximation [50]. Missing data were confirmed to be missing completely at random using Little Chi-square statistic prior to imputation.

We used an analysis of covariance (ANCOVA) to evaluate the potential efficacy of the intervention on the primary dependent variable, SCI-FAP, at posttraining. Baseline scores were used as the covariate, while group (Loko-R, Control) was the independent factor. Secondary analyses using ANCOVA were also undertaken to determine the effect of the intervention on the SCI-FAP at 1 and 6 mo follow-up, as well as on the 10MWT and 6MWT at posttraining and 1 and 6 mo follow-up. Data were log-transformed prior to performing the ANCOVA if they were not normally distributed, as determined by the Shapiro-Wilk test of normality. The effect size of group differences was calculated by  $\eta^2$  to determine the amount of variability in outcome measurements that can be accounted for by the independent variable (group).

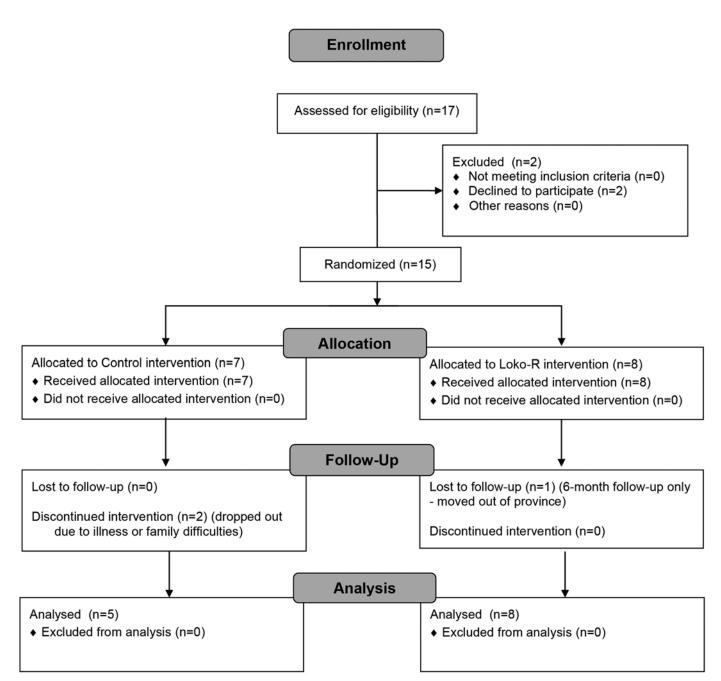
# RESULTS

**Figure 1** illustrates the enrollment and flow of participants through this pilot randomized controlled trial. Eight individuals were randomized to Loko-R and seven to the Control group. All participants received the treatment to which they were allocated. Two participants who were in the Control group withdrew from the study after the second week of training; one cited difficulties in her personal life and the other contracted pneumonia. One participant in the Loko-R group could not attend the 6 mo follow-up assessment because of his relocation to another city. Baseline demographics and characteristics of study participants are summarized in **Table 1**. There were no significant between-group differences in any of the demographic or outcome measures.

# **Training Parameters**

There was no significant between-group difference in BWS (F(1,11) = 4.3, p = 0.06). BWS significantly decreased from the first to last week of training (F(1,11) =17.0, p = 0.002). In the Control group, BWS decreased from 25.2 ± 14.0 percent in the first week to 12.9 ± 6.8 percent in the last week of training. In the Loko-R group, BWS decreased from  $37.9 \pm 11.9$  percent in the first week to  $19.1 \pm 7.7$  percent in the last week of training.

Treadmill speed was significantly lower in the Loko-R group (F(1,11) = 23.1, p = 0.001) and significantly increased from the first to the last week of training (F(1,11) = 41.6, p < 0.001). In the Control group, average treadmill speed increased from  $1.6 \pm 0.2$  km/h in the first week to  $1.9 \pm 0.3$  km/h in the last week of training. In the Loko-R group, average treadmill speed increased from



#### Figure 1.

Flow of participants through study. Loko-R = Lokomat-applied resistance.

 $1.2 \pm 0.1$  km/h in the first week to  $1.7 \pm 0.2$  km/h in the last week of training.

# **Tolerance to Training**

Among the participants in the Control group, there were 6 reports of fatigue, 2 reports of light-headedness,

and 23 reports of pain/soreness (primarily low back) over the course of the training program. Among the subjects in Loko-R, there were 3 reports of excessive sweating, 1 report of excessive headache, 15 reports of fatigue, 2 reports of light-headedness, 1 report of blurred vision, and 38 reports of pain/soreness (primarily low back and

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| Table 1.                 |
|--------------------------|
| Subject characteristics. |

| Subject        | Sex    | Age (yr) | AIS   | Injury<br>Level | Etiology   |                 | Baseline Scores |                |             |
|----------------|--------|----------|-------|-----------------|------------|-----------------|-----------------|----------------|-------------|
|                |        |          |       |                 |            | Chronicity (yr) | SCI-FAP         | 10MWT<br>(m/s) | 6MWT<br>(m) |
| Control        |        |          |       |                 |            |                 |                 |                |             |
| S1             | Μ      | 34       | AIS C | C4/5            | Trauma     | 5               | 176             | 0.24           | 63          |
| S2             | М      | 63       | AIS D | C5              | Trauma     | 2               | 58              | 0.33           | 164         |
| \$3            | М      | 59       | AIS D | C4              | Trauma     | 5               | 1,605           | 0.05           | 23          |
| 54             | F      | 61       | AIS D | C2              | Trauma     | 2               | 446             | 0.53           | 134         |
| 85             | F      | 26       | AIS D | C4/5            | Trauma     | 3               | 8               | 1.03           | 405         |
| S6             | F      | 52       | AIS C | C4/5            | Trauma     | 2               | 1,800           | 0.03           | 9           |
| 87             | F      | 55       | AIS C | Τ7              | Trauma     | 20              | 587             | 0.12           | 43          |
| Experimental ( | Loko-R | .)       |       |                 |            |                 |                 |                |             |
| 58             | F      | 53       | AIS D | Т3              | Hemorrhage | 2               | 83              | 0.34           | 139         |
| <b>S</b> 9     | М      | 28       | AIS C | C6              | Trauma     | 2               | 1,607           | 0.15           | 26          |
| S10            | М      | 38       | AIS D | C1/2            | Trauma     | 4               | 88              | 0.59           | 221         |
| S11            | М      | 57       | AIS D | C4              | Trauma     | 2               | 365             | 0.15           | 55          |
| 812            | F      | 27       | AIS D | T10             | AVM        | 15              | 38              | 0.84           | 281         |
| S13            | Μ      | 28       | AIS C | C5/6            | Trauma     | 7               | 946             | 0.06           | 23          |
| S14            | М      | 60       | AIS D | T10             | Viral      | 4               | 1,087           | 0.12           | 36          |
| 815            | М      | 31       | AIS D | T4              | Trauma     | 6               | 601             | 0.09           | 22          |

legs). All subjects in both groups reported experiencing one of these symptoms at least once during the 3 mo training program, and there was an average of six reports of pain/soreness per subject in both groups. There were 19 instances across four participants (1 from the Control group and 3 from Loko-R) where headache, fatigue, or pain/soreness in the low back or leg interrupted training. However, all participants included in the final analysis completed 36 training sessions, except for one in Loko-R who ended at session 33 (due to scheduling conflict).

The average total session duration (including rest breaks) was not different between groups (F(1,11) = 0.11, p = 0.75) nor from the first to the last week of training (F(1,11) = 2.5, p = 0.14). In the Control group, average total session duration in the first week was  $66 \pm 16$  min and in the last week was  $59 \pm 12$  min. In the Loko-R group, the average total session duration in the first week was  $71 \pm 12$  min and in the last week was  $76 \pm 13$  min.

Session RPE was significantly different between the groups (F(1,11) = 8.7, p = 0.01) but not between the first and last week of training (F(1,11) = 0.06, p = 0.81). Average session RPE in the Control group in the first week was  $2.5 \pm 1.2$  and in the last week was  $2.6 \pm 0.5$ . In the Loko-R group, average session RPE in the first week was  $4.0 \pm 1.6$  and in the last week was  $4.1 \pm 1.2$ .

The overall exercise score was also significantly different between the two groups (F(1,11) = 5.6, p = 0.04), but not between the first and last week of training (F(1,11) = 3.1, p = 0.10). The average exercise score in the Control group in the first week was  $94.5 \pm 41.5$  and in the last week was  $116.2 \pm 30.2$ . In the Loko-R group, the average exercise score in the first week was  $163.3 \pm 71.2$ and in the last week was  $191.1 \pm 64.9$ .

## **Skilled Walking**

Results for the SCI-FAP at posttraining are shown in **Table 2**, and raw data are shown in **Figure 2(a)**. The Loko-R group showed a significantly greater improvement in the SCI-FAP at posttraining than the Control. Compared with baseline, posttraining SCI-FAP scores decreased by 204 points (standard deviation [SD]: 207, 95% confidence interval [CI]: -348 to -61) in the Loko-R group but only by 18 points (SD: 36, 95% CI: -50 to 14) in the Control group.

Improvements in the SCI-FAP were retained at 1 and 6 mo follow-up (**Table 2**). In the Loko-R group, SCI-FAP scores at 1 and 6 mo follow-up were 217 (SD: 213, 95% CI: -364 to -69) and 220 (SD: 249, 95% CI: -404 to -36) points less than baseline, respectively. In the Control group, the SCI-FAP scores at 1 and 6 mo follow-up were

#### Table 2.

Efficacy and intent-to-treat analysis of Spinal Cord Injury-Functional Ambulation Profile (SCI-FAP) scores.

| Analysia                  | Mean ± SD     | ANCOVA               |                   |                 |          |  |
|---------------------------|---------------|----------------------|-------------------|-----------------|----------|--|
| Analysis                  |               | <b>Adjusted Mean</b> | F                 | <i>p</i> -Value | $\eta^2$ |  |
| Efficacy Analysis         |               |                      |                   |                 | -        |  |
| Baseline                  |               |                      |                   | —               | —        |  |
| Control $(n = 7)$         | $669\pm738$   | —                    |                   |                 |          |  |
| Loko-R $(n = 8)$          | $602 \pm 570$ | —                    |                   |                 |          |  |
| Posttraining <sup>*</sup> |               |                      | $F_{1,10} = 19.6$ | 0.001           | 0.66     |  |
| Control $(n = 5)$         | $711 \pm 864$ | 645                  | -,                |                 |          |  |
| Loko-R $(n = 8)$          | $397\pm365$   | 438                  |                   |                 |          |  |
| 1 mo Follow-Up*           |               |                      | $F_{1,10} = 8.6$  | 0.02            | 0.46     |  |
| Control $(n = 5)$         | $694\pm859$   | 629                  | 1,10              |                 |          |  |
| Loko-R $(n = 8)$          | $385 \pm 367$ | 425                  |                   |                 |          |  |
| 6 mo Follow-Up            |               |                      | $F_{1.9} = 5.4$   | 0.046           | 0.37     |  |
| Control $(n = 5)$         | $679 \pm 827$ | 620                  | -,-               |                 |          |  |
| Loko-R $(n = 7)$          | $382\pm393$   | 424                  |                   |                 |          |  |
| Intent-to-Treat Analysis  |               |                      |                   |                 |          |  |
| Posttraining <sup>*</sup> |               |                      | $F_{1.12} = 30.2$ | < 0.001         | 0.72     |  |
| Control $(n = 7)$         | $652 \pm 714$ | 623                  | 1,12              |                 |          |  |
| Loko-R $(n = 8)$          | $397 \pm 365$ | 423                  |                   |                 |          |  |
| 1 mo Follow-Up*           |               |                      | $F_{1,12} = 14.3$ | 0.003           | 0.54     |  |
| Control $(n = 7)$         | $635 \pm 710$ | 606                  | ·,· <i>=</i>      |                 |          |  |
| Loko-R $(n = 8)$          | $385 \pm 367$ | 411                  |                   |                 |          |  |
| 6 mo Follow-Up*           |               |                      | $F_{1,12} = 10.3$ | 0.008           | 0.46     |  |
| Control $(n = 7)$         | $624 \pm 683$ | 595                  | 1,12              |                 |          |  |
| Loko-R $(n = 8)$          | $382 \pm 364$ | 406                  |                   |                 |          |  |

Note: ANCOVA results are between-subjects comparison of SCI-FAP between Control and Loko-R at each of outcome assessment time points. For efficacy analysis, analyses were performed with case-wise deletion of missing data (13% for posttraining and 1 mo follow-up comparisons, 20% for 6-month follow-up comparisons). For intent-to-treat, missing data were imputed by expectation-maximation method. Adjusted mean values were obtained from ANCOVA output and estimate mean values after controlling for covariates.

\*Statistically significant differences.

ANCOVA = analysis of covariance, Loko-R = Locomat-applied resistance, SD = standard deviation.

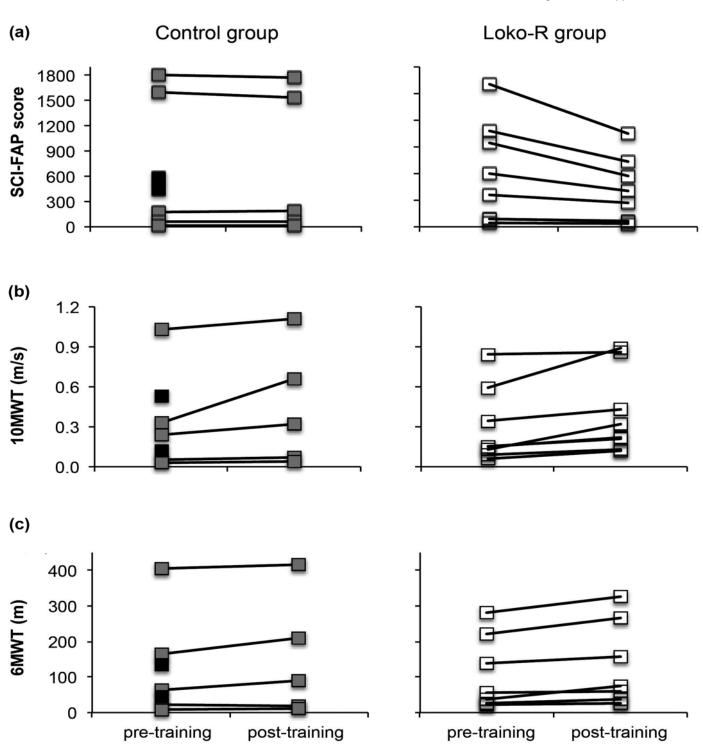
36 (SD: 70, 95% CI: -97 to 25) and 50 (SD: 131, 95% CI: -165 to 65) points less than baseline, respectively. There was a significant effect of group at all assessment time points with respect to baseline. Intent-to-treat analysis revealed the same pattern (**Table 2**).

**Figure 3** illustrates the change in SCI-FAP scores at posttraining compared with baseline plotted against initial 10MWT speed. Five of the eight participants in the Loko-R group showed changes in SCI-FAP scores that exceeded the 95 percent minimal detectable change (MDC95) of 92 points [51]. No one with an initial walking speed >0.35 m/s nor anyone from the Control group showed changes in SCI-FAP scores beyond the MDC95.

### **Overground Walking Speed and Distance**

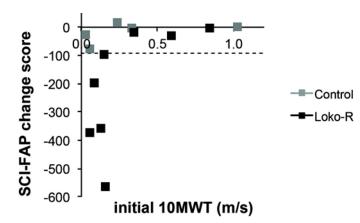
Raw data from the 10MWT and 6MWT at pre- and posttraining are shown in **Figures 2(b)** and (c). There

was an increase in the 10MWT and 6MWT across time, but there was no significant difference in these outcomes between groups (Tables 3 and 4). Across all subjects in both groups, the 10MWT increased by 0.10 m/s (SD: 0.10, 95% CI: 0.05 to 0.16) compared with baseline. Walking speed at 1 mo and 6 mo follow-up assessments increased by 0.12 m/s (SD: 0.12, 95% CI: 0.05 to 0.18) and 0.09 (SD: 0.11, 95% CI: 0.03 to 0.15), respectively (Table 3). For the 6MWT, posttraining walking distance increased by 19.6 m (SD: 18.9, 95% CI: 9.3 to 29.9) compared with baseline. Walking distance at 1 mo and 6 mo follow-up assessments increased by 42.5 m (SD: 62.1, 95% CI: 8.8 to 76.2) and 56.9 m (SD: 54.5, 95% CI: 26.1 to 87.7), respectively (Table 4). Intent-to-treat analysis revealed the same pattern in 10MWT and 6MWT outcomes at all time points between groups (Tables 3 and 4).



# Figure 2.

Raw data of (a) Spinal Cord Injury-Functional Ambulation Profile (SCI-FAP), (b) 10 m walk test (10MWT), and (c) 6 min walk test (6MWT) at pre- and posttraining from all participants. Data from participants in Control group are plotted in left column and those from Lokomat-applied resistance (Loko-R) group are plotted in right column on same vertical axis scale. Black symbols in Control group plots represent pretraining data from two participants who dropped out after second week of training.



#### Figure 3.

Change in skilled walking function following Lokomat-applied resistance (Loko-R) training. Change in Spinal Cord Injury-Functional Ambulation Profile (SCI-FAP) scores at posttraining is plotted against initial walking capacity (10 m walk test [10MWT]) for each participant. Dotted horizontal line denotes 95% minimal detectable change of SCI-FAP.

# DISCUSSION

In this pilot study, we showed that Loko-R could feasibly be combined with BWSTT in people with chronic m-iSCI. Loko-R is more intensive than standard Lokomat training, and there were more reports of pain/soreness among the participants in the Loko-R group. Nevertheless, there were no dropouts during Loko-R training and all participants could complete the prescribed number of training sessions. Our results also suggest that Loko-R may be beneficial for enhancing skilled walking function in people with chronic m-iSCI. For the relatively simpler tests of overground walking speed and distance, we observed significant improvements with training, but there were no differences between groups. Further studies are warranted to help us understand how best to integrate Loko-R training along the continuum of gait training strategies and whether a lower intensity of Loko-R training (e.g., less resistance) can enable similar functional benefits while reducing the incidence of pain/soreness experienced by participants.

# **Methodological Considerations**

This was a small pilot study and, after accounting for drop-outs, included five subjects in the Control group and eight in Loko-R (with another drop-out at 6 mo). However, the reasons for dropping out were unrelated to the training, and there were no drop-outs from the Loko-R group during the training period, supporting the feasibility of this approach. Despite the small sample size, we could still detect a statistically significant difference in SCI-FAP scores between groups and across time. However, further trials with larger sample sizes are certainly warranted to confirm the effect of Loko-R on skilled walking function.

There were some differences between the groups in terms of their training parameters and tolerance. There were more reports of fatigue and pain/soreness from participants in the Loko-R group. The exercise scores as well as session RPE were higher in the Loko-R group than in the Control, suggesting that they experienced a higher training intensity. Higher training intensity would presumably affect overall gait function, including endurance. While we did observe differences in SCI-FAP scores, there were no differences in the 10MWT or 6MWT between the groups. If the differences in intensity had an effect, one would expect that the Loko-R group would have shown better outcomes in all of the walking measures, not just the SCI-FAP.

#### Motor Learning in Gait Rehabilitation

The ability to generalize is considered a hallmark of learning, as opposed to rote memorization [52]. An interesting result from this pilot study was that it may be possible to improve skilled, overground walking with a treadmill-based intervention. Previous studies in chronic SCI indicated the superiority of overground to treadmillbased training paradigms on walking speed [16] or the equivalence of overground versus treadmill-based training in subacute SCI [10]. One of the hypothesized benefits of overground gait training is that it challenges dynamic balance control during functional gait tasks [53]. Overground task-specific training programs providing explicit practice of different walking skills have been introduced for people with SCI [53] as well as stroke [54]. Musselman et al. compared BWSTT with an overground training program involving practice of a variety of walking tasks, including negotiating obstacles, stairs, steps, and sloped surfaces [53]. While improvements in walking outcomes were observed after both types of training, subjects tended to show greater improvements in skilled walking following the overground training program.

The possibility of improvements in overground skilled walking with treadmill-based Loko-R training could be indicative of task- and context-related generalization

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# Table 3.

Efficacy and intent-to-treat analysis of 10 m walk test (10MWT).

| Analysis                 | Mean ± SD       | ANCOVA               |                    |                 |                |  |
|--------------------------|-----------------|----------------------|--------------------|-----------------|----------------|--|
| Analysis                 | Mean ± SD       | <b>Adjusted Mean</b> | F                  | <i>p</i> -Value | η <sup>2</sup> |  |
| Efficacy Analysis        |                 |                      |                    |                 |                |  |
| Baseline                 |                 |                      |                    |                 |                |  |
| Control $(n = 7)$        | $0.33\pm0.35$   |                      |                    |                 |                |  |
| Loko-R $(n = 8)$         | $0.29\pm0.28$   |                      |                    |                 |                |  |
| Posttraining             |                 |                      | $F_{1.10} = 0.57$  | 0.47            | 0.05           |  |
| Control $(n = 5)$        | $0.44\pm0.45$   | 0.41                 | , -                |                 |                |  |
| Loko-R $(n = 8)$         | $0.40 \pm 0.31$ | 0.42                 |                    |                 |                |  |
| 1 mo Follow-up           |                 |                      | $F_{1,10} = 0.04$  | 0.85            | 0.004          |  |
| Control $(n = 5)$        | $0.48\pm0.47$   | 0.45                 | -,                 |                 |                |  |
| Loko-R $(n = 8)$         | $0.39\pm0.29$   | 0.41                 |                    |                 |                |  |
| 6 mo Follow-Up           |                 |                      | $F_{1.9} = 0.005$  | 0.95            | 0.001          |  |
| Control $(n = 5)$        | $0.45\pm0.45$   | 0.44                 | 2-                 |                 |                |  |
| Loko-R $(n = 7)$         | $0.40\pm0.30$   | 0.41                 |                    |                 |                |  |
| Intent-to-Treat Analysis |                 |                      |                    |                 |                |  |
| Posttraining             |                 |                      | $F_{1.12} = 0.48$  | 0.50            | 0.04           |  |
| Control $(n = 5)$        | $0.44\pm0.39$   | 0.41                 | 2                  |                 |                |  |
| Loko-R $(n = 7)$         | $0.40 \pm 0.31$ | 0.42                 |                    |                 |                |  |
| 1 mo Follow-Up           |                 |                      | $F_{1,12} = 0.004$ | 0.95            | 0.00           |  |
| Control $(n = 5)$        | $0.47\pm0.40$   | 0.45                 | ,                  |                 |                |  |
| Loko-R $(n = 7)$         | $0.39\pm0.29$   | 0.41                 |                    |                 |                |  |
| 6 mo Follow-Up           |                 |                      | F1,12 = 0.004      | 0.95            | 0.00           |  |
| Control $(n = 5)$        | $0.44\pm0.39$   | 0.42                 |                    |                 |                |  |
| Loko-R $(n = 7)$         | $0.37\pm0.29$   | 0.39                 |                    |                 |                |  |

Note: ANCOVA results are between-subjects comparison of 10MWT between Control and Loko-R at each of outcome assessment time points. For efficacy analysis, analyses were performed with case-wise deletion of missing data (13% for posttraining and 1 mo follow-up comparisons, 20% for 6 mo follow-up comparisons). For intent-to-treat, missing data were imputed by expectation-maximation method. Adjusted mean values were obtained from ANCOVA output and estimate mean values after controlling for covariates.

ANCOVA = analysis of covariance, Loko-R = Lokomat-applied resistance, SD = standard deviation.

of locomotor gains. Task-related generalization is indicated by the improvements in walking capacity generalized to skills (e.g., obstacle crossing) that were not specifically trained. Context-related generalization is suggested by the fact that improvements transferred from the treadmill to an overground situation. In considering the role of robotics in rehabilitation, Huang and Krakauer raised the important issue of whether training with robotic devices only facilitates learning of the use of a new tool (i.e., the robot) as opposed to (re)learning control of the limbs [55]. Our results are consistent with the notion that robot-resisted treadmill training engages feedback-error processes to facilitate relearning. Loko-R training, and indeed other robot-based flexible-trajectory training paradigms [32,56], provides several training characteristics that would facilitate motor learning in this manner. These include (1) enhanced sensory input, (2) greater variability during training, and (3) more active participation in the production of locomotor activity.

### Sensory Feedback Enhances Flexor Muscle Activity

Many skilled walking tasks require the ability to precisely control foot trajectory height during swing and advance the foot to an appropriate landing position. During swing, foot clearance height is especially sensitive to changes in knee flexion [57], and the higher stepping required for obstacle crossing is primarily because of a knee flexor strategy [58–60]. Adaptations to Lokomatapplied velocity-dependent resistance include strategies that enhance swing phase initiation and foot clearance height, such as increased hamstrings and rectus femoris activity [26]. Moreover, we and others have shown that when the resistance is removed aftereffects are elicited, suggesting feedforward adaptation to the new dynamic

#### Table 4.

Efficacy and intent-to-treat analysis of 6 min walk test (6MWT).

| Analysia                 | Moon + SD     | ANCOVA               |                    |                 |      |  |
|--------------------------|---------------|----------------------|--------------------|-----------------|------|--|
| Analysis                 | Mean ± SD     | <b>Adjusted Mean</b> | F                  | <i>p</i> -Value | η2   |  |
| Efficacy Analysis        |               |                      |                    |                 |      |  |
| Baseline                 |               |                      |                    |                 | —    |  |
| Control $(n = 7)$        | $120\pm138$   |                      |                    |                 |      |  |
| Loko-R $(n = 8)$         | $100 \pm 102$ |                      |                    |                 |      |  |
| Posttraining             |               |                      | $F_{1,10} = 1.18$  | 0.30            | 0.11 |  |
| Control $(n = 5)$        | $149 \pm 170$ | 127                  | -,- •              |                 |      |  |
| Loko-R $(n = 8)$         | $122 \pm 117$ | 136                  |                    |                 |      |  |
| 1 mo Follow-Up           |               |                      | $F_{1,10} = 0.002$ | 0.96            | 0.00 |  |
| Control $(n = 5)$        | $197 \pm 177$ | 179                  | 1,10               |                 |      |  |
| Loko-R $(n = 8)$         | $129 \pm 90$  | 140                  |                    |                 |      |  |
| 6 mo Follow-Up           |               |                      | $F_{1.9} = 0.44$   | 0.52            | 0.05 |  |
| Control $(n = 5)$        | $191 \pm 172$ | 179                  | 1,9                |                 |      |  |
| Loko-R $(n = 7)$         | $167 \pm 122$ | 176                  |                    |                 |      |  |
| Intent-to-Treat Analysis |               |                      |                    |                 |      |  |
| Posttraining             |               |                      | $F_{1,12} = 1.11$  | 0.31            | 0.08 |  |
| Control $(n = 5)$        | $139 \pm 143$ | 125                  | 1,12               |                 |      |  |
| Loko-R $(n = 8)$         | $122 \pm 117$ | 132                  |                    |                 |      |  |
| 1 mo Follow-Up           |               |                      | $F_{1,12} = 0.08$  | 0.78            | 0.01 |  |
| Control $(n = 5)$        | $185 \pm 148$ | 176                  | 1,12               |                 |      |  |
| Loko-R $(n = 8)$         | $129 \pm 90$  | 138                  |                    |                 |      |  |
| 6 mo Follow-Up           |               |                      | $F_{1,12} = 0.55$  | 0.47            | 0.04 |  |
| Control $(n = 5)$        | $179 \pm 144$ | 169                  | 1,12               |                 |      |  |
| Loko-R $(n = 8)$         | $155 \pm 118$ | 164                  |                    |                 |      |  |

Note: ANCOVA results are between-subjects comparison of 6MWT between Control and Loko-R at each of outcome assessment time points. For efficacy analysis, analyses were performed with case-wise deletion of missing data (13% for posttraining and 1 mo follow-up comparisons, 20% for 6 mo follow-up comparisons). For intent-to-treat, missing data were imputed by expectation-maximation method. Adjusted mean values were obtained from ANCOVA output and estimate mean values after controlling for covariates.

ANCOVA = analysis of covariance, Loko-R = Lokomat-applied resistance, SD = standard deviation.

environment [24–31]. Aftereffects persist even when subjects subsequently step overground [32]. Thus, given that adaptive strategies to Loko-R include responses that facilitate the initiation of swing and enhance foot clearance height [26,31], repeated exposure to Loko-R over the course of training could have resulted in enhanced dynamic control of the lower limb, facilitating the functional improvements in skilled walking.

# Movement Variability During Training

There is increasing evidence that providing more variable practice conditions during training enhances motor performance [56,61–63]. This is also implied from clinical research showing that overground gait training or therapist-assisted BWSTT enables better ambulatory outcomes in people with SCI or stroke than with robot-guided training [16,61]. The rigid imposition of movement trajectories by robot-assisted training limits the

variability with which the lower limbs are moved and consequently could interfere with the nervous system's ability to effectively relearn gait patterns [61–62]. Indeed, variability during practice is seen as a key feature for facilitating learning because the nervous system is presented with repeated (and varied) opportunities to experience errors and solve motor problems [55], as opposed to simply learning how to reproduce an imposed trajectory [63]. Others have also suggested that variability or "noise" during training provides a stochastic resonance to help reinforce convergent synaptic connections from central and sensory inputs to the locomotor circuitry [62].

# Active Engagement in Training

Lokomat-applied resistance would also have required greater engagement during training to avoid toe drag and stumbling. In contrast, subjects in the Control group would not be as compelled to be actively involved in their training. Our results are consistent with and extend those of Yen et al., who found that after a single bout of robot-resisted treadmill walking, subjects showed aftereffects (longer stride length) when subsequently asked to step overground [32]. They also speculated that the transfer of locomotor aftereffects from resisted treadmill walking to overground stepping could have been related to the level of active engagement.

Greater cognitive engagement during training could have elicited greater involvement of cortical regions associated with gait, which are particularly involved in the adjustments of motor output during swing [64–66]. Indeed, it has been shown that corticospinal excitability is tuned according to the level of cognitive engagement during gait [67-68]. In addition, the improvement in locomotor control in people with m-iSCI is associated with increased corticospinal drive to leg muscles [69-70]. Thus, given the role of the primary motor cortex in the control of skilled locomotion [64-66], as well as evidence that corticospinal excitability is modulated with locomotor adaptations [71-73] and associated with locomotor recovery following SCI [69-70,74-75], it is possible that the improvements in skilled walking following Loko-R training was associated with enhanced cortical excitability secondary to greater active engagement during training.

# CONCLUSIONS

There has been some controversy surrounding the effectiveness of BWSTT over "conventional" rehabilitation; however, the results of this pilot study suggest new promise for the value of robotics-based BWSTT incorporating principles of motor learning. Training with Loko-R can be feasibly implemented in people with chronic miSCI. This study also highlights the importance of including outcome measures that assess walking skills that represent the requirements of everyday mobility. To our knowledge, this study is the first to suggest that improvements in skilled walking following a treadmill-based gait rehabilitation program may be possible. Given the promising results of this pilot study, a larger randomized controlled trial with more subjects is warranted to confirm the effects of locomotor training with Loko-R.

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#### **Author Contributions:**

Study concept and design: T. Lam, A. Krassioukov, J. J. Eng. Acquisition of data: K. Pauhl, A. Ferguson, A. Krassioukov. Analysis and interpretation of data: T. Lam, K. Pauhl, A. Ferguson, R. N. Malik.

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Statistical analysis: T. Lam, J. J. Eng.

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